DOCKET NO.: 120 06799 US CLIENT NO.: HWEL01-06799

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joseph Z. Lu

Application No.

10/772,971

Filed

February 5, 2004

For

APPARATUS AND METHOD FOR MODELING

RELATIONSHIPS BETWEEN SIGNALS

Art Unit

2128

Examiner

Suzanne Lo

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The references herein are listed below and on the attached Form PTO/SB/08B. Copies of the listed publications are submitted herewith.

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DOCKET No.: 120 06799US APPLICATION No.: 10/772,971

PATENT

Publications

. . . .

Gilbert Strang, "Introduction to Linear Algebra," Third Edition, 10 pages.

Mosises Salmeron et al., "SSA, SVD, QR-cp, and RBF Model Reduction," ICANN 2002,

pp. 589-594.

http://www.alglib.net/matrixops/general/svd.php, 4 pages.

http://www.netlib.org/lapack/lug/node53.html, 3 pages.

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure

Statement.

This Information Disclosure Statement is being transmitted after the mailing date of the first

Office Action on the merits. Therefore, Applicant encloses a check in the amount of \$180.00 for the

Information Disclosure Statement filing fee.

Respectfully submitted,

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V

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